



Fee Only

PATENT 81784.0241

8/82

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KOGA, et al.

Serial No: 09/927,794

Filed: August 8, 2001

For: Display Device and Semiconductor  
Device Having Laser Annealed  
Semiconductor Elements

Art Unit: 2811

Examiner: Crane, S.W.

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on	
June 8, 2004	
Date of Deposit	
Shingare Ferguson	
Name	
Signature	June 8, 2004
	Date

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

07/19/2004 PYARBORD 00000003 501314 09927794

1

01 FC:1806 180.00 CR

Adjustment date: 10/29/2004 SDIRETA1  
07/19/2004 PYARBORD 00000003 501314 09927794  
01 FC:1806 180.00 CR

**BEST AVAILABLE COPY**

PATENT DIVISION  
81784.0241

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 SEP -1 PM 1:2

In re application of:

Masayuki KOGA et al.

Serial No: 09/927,794

Filed: August 8, 2001

For: DISPLAY DEVICE AND  
SEMICONDUCTOR DEVICE HAVING  
LASER ANNEALED  
SEMICONDUCTOR ELEMENTS

Art Unit: 2811

Examiner: Crane, Sara W

**REQUEST FOR REFUND (IMPROPER CHARGE  
OF DEPOSIT ACCOUNT) (37 C.F.R. § 1.26)**

Mail Stop 16  
Office of Finance  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service with sufficient postage as  
first class mail in an envelope addressed  
to:

Mail Stop Office of Finance  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450, on

August 27, 2004  
Date of Deposit

John P. Scherlach, Reg. No. 23,009

Name  
*John P. Scherlach*  
Signature Date

Dear Sir:

1. This is a request for a refund, with respect to the charge to Deposit Account 50-1314, shown on the statement dated July 2004, for the above-referenced application/patent. A copy of the statement, in which the error referred to occurs, accompanies this request.

2. FEES CHARGED FOR WHICH  
REFUND REQUESTED:

- ☒ Filing Fee for IDS (Information Disclosure Statement)
- ☐ Surcharge
- ☐ Extension of time for response
- ☐ Excess claims
- ☐ Issue fee
- ☐ Petition fee
- ☐ Maintenance fee \_\_\_ year
- ☐ Maintenance fee surcharge

AMOUNT OF  
REFUND REQUESTED:

180.00

BEST AVAILABLE COPY

3. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR:

On May 4, 2004 Applicants submitted an Information Disclosure Statement (IDS) in connection with an Amendment and an RCE for the above-identified application. On July 19, 2004 the U.S. Patent and Trademark Office charged Applicants' attorney's direct Deposit Account no. 50-1314.

PATENT MAINTENANCE  
DIVISION

2004 SEP -1 PM 1:51

4. MANNER OF REFUND:

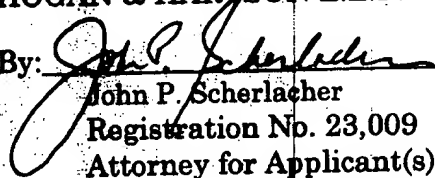
Please make refund by crediting Deposit Account number 50-1314.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: August 27, 2004

By:

  
John P. Scherlach  
Registration No. 23,009  
Attorney for Applicant(s)

500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Phone: 213-337-6700  
Fax: 213-337-6701

BEST AVAILABLE COPY

## Deposit Account Statement

Page 2 of 4

MAINTENANCE  
DIVISION

2004 SEP -1 PM 1:

07/13 2	09872052		1252	\$420.00	\$30,584.00
07/13 158	10887299	83388.0019	1001	\$770.00	\$29,794.00
07/13 159	10887299	83388.0019	1201	\$802.00	\$29,192.00
07/13 191	09135180	55860-6845	1251	\$110.00	\$29,082.00
07/13 195	10887385	W161327/10	1001	\$770.00	\$28,312.00
07/14 1	10482855	88496.0005	1615	\$182.00	\$28,150.00
07/14 52	10888126	83363.0004	1001	\$770.00	\$27,380.00
07/14 53	10888126	83363.0004	1202	\$324.00	\$27,056.00
07/14 54	10888126	83363.0004	1203	\$290.00	\$26,766.00
07/14 105	09297483	005317-20009	1806	\$180.00	\$26,586.00
07/14 253	6758085	81850.0055	8013	\$25.00	\$26,561.00
07/15 8	10485078	83394.0023	1611	-\$1,080.00	\$27,641.00
07/15 9	10485078	83394.0023	1613	\$920.00	\$26,721.00
07/15 20	10683688	81940.0063	1460	\$130.00	\$26,591.00
07/15 20	10809100		9204	-\$912.00	\$27,503.00
07/15 21	10809100	83388.0018	1202	-\$72.00	\$27,575.00
07/15 53	10889821		1001	\$770.00	\$26,805.00
07/15 54	10889821		1202	\$306.00	\$26,499.00
07/15 68	PAYMENT		9203	-\$20,000.00	\$46,499.00
07/15 79	10889755	88543.0001	2001	\$385.00	\$46,114.00
07/15 116	10889402	81919.0019	1001	\$770.00	\$45,344.00
07/15 117	10889402	81919.0019	1202	\$126.00	\$45,218.00
07/15 135	10889409	88519.0005	1001	\$770.00	\$44,448.00
07/15 136	10889409	88519.0005	1203	\$290.00	\$44,158.00
07/16 3	10287467	81868.0068	1251	\$110.00	\$44,048.00
07/16 7	10382804	81940.0039	1460	\$130.00	\$43,918.00
07/16 46	10887299	83388.0019	8021	\$40.00	\$43,878.00
07/16 48	10891162	81790.0318	1001	\$770.00	\$43,108.00
07/16 71	09489696	006332-20018	1252	\$420.00	\$42,688.00
<hr/>					
07/19 116	10892592	81872.0068	1001	\$770.00	\$41,738.00
07/19 335	10501362	88528.0010	1613	\$920.00	\$40,818.00
07/19 336	10501362	88528.0010	1616	\$290.00	\$40,528.00
07/19 337	10501362	88528.0010	8021	\$40.00	\$40,488.00
07/19 352	10501380	88528.0009	1613	\$920.00	\$39,568.00
07/19 353	10501380	88528.0009	1616	\$290.00	\$39,278.00
07/19 354	10501380	88528.0009	8021	\$40.00	\$39,238.00
07/20 1	09669354	81751.0009	1251	\$110.00	\$39,128.00
07/20 2	10200048	81790.0248	1801	\$770.00	\$38,358.00
07/20 3	10090419	81868.0045	1806	\$180.00	\$38,178.00
07/20 16	10090350	83393.0001	1253	\$950.00	\$37,228.00
07/20 80	10426316	81790.0284	1501	\$1,330.00	\$35,898.00
07/20 81	10426316	81790.0284	1504	\$300.00	\$35,598.00
07/20 82	10426316	81790.0284	8001	\$30.00	\$35,568.00
07/20 83	29200840	81850.0069	1502	\$480.00	\$35,088.00
07/20 84	29200840	81850.0069	8001	\$30.00	\$35,058.00
07/20 85	29194050	81931.0029	1502	\$480.00	\$34,578.00
07/20 86	29194050	81931.0029	8001	\$30.00	\$34,548.00

BEST AVAILABLE COPY